JEFF HATCH-MILLER - Chairman WILLIAM A. MUNDELL MIKE GLEASON KRISTIN K. MAYES **GARY PIERCE** 





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ARIZONA CORPORATION COMMISSION

Arizona Corporation Commission DOCKETED

JAN 2 5 2007

**DOCKETED BY** 

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DATE:

**JANUARY 25, 2007** 

DOCKET NO:

RR-03639A-06-0731

TO ALL PARTIES:

Enclosed please find the recommendation of Administrative Law Judge Marc E. Stern. The recommendation has been filed in the form of an Opinion and Order on:

## UNION PACIFIC RAILROAD (AT-GRADE CROSSING)

Pursuant to A.A.C. R14-3-110(B), you may file exceptions to the recommendation of the Administrative Law Judge by filing an original and ten (10) copies of the exceptions with the Commission's Docket Control at the address listed below by 4:00 p.m. on or before:

#### **FEBRUARY 5, 2007**

The enclosed is **NOT** an order of the Commission, but a recommendation of the Administrative Law Judge to the Commissioners. Consideration of this matter has tentatively been scheduled for the Commission's Working Session and Open Meeting to be held on:

### FEBRUARY 13, and 14, 2007

For more information, you may contact Docket Control at (602) 542-3477 or the Hearing Division at (602) 542-4250. For information about the Open Meeting, contact the Executive Secretary's Office at (602) 542-3931.

BRIAN & McNEIL EXECUTIVE DIRECTOR

1	BEFORE THE ARIZONA CORPORATION COMMISSION		
2	COMMISSIONERS		
3	JEFF HATCH-MILLER, Chairman WILLIAM A. MUNDELL		
4	MIKE GLEASON KRISTIN K. MAYES GARY PIERCE		
5			
6	IN THE MATTER OF THE APPLICATION O THE ARIZONA DEPARTMENT OF		DOCKET NO. RR-03639A-06-0731
7	TRANSPORTATION TO UPGRADE A		DECISION NO.
8	CROSSING OF THE UNION PACIFIC RAILROAD AT 36 <sup>TH</sup> STREET, IN TUCSO PIMA COUNTY, ARIZONA, AAR/DOT	ON,	
9	NO. 741-292-U.		OPINION AND ORDER
10	DATE OF HEARING:	January 3, 2007	
11	PLACE OF HEARING:	Phoenix, Arizona	
12	ADMINISTRATIVE LAW JUDGE:	Marc E. Stern	
13	APPEARANCES:	Mr. Charles Haines, Staff Attorney, Legal Division on behalf of the Utilities Division of the Arizona	
14		Corporation Commission.	
15	BY THE COMMISSION:		
16	On November 16, 2006, the Arizona Department of Transportation ("ADOT") submitted to		
17	the Arizona Corporation Commission ("Commission") a request for an Opinion and Order for the		
18	approval of an agreement between ADOT and the Union Pacific Railroad Company ("Railroad") to		
19	upgrade the Railroad's crossing at 36 <sup>th</sup> Street in Tucson, Pima County, Arizona, at AAR/DOT No.		
20	741-292-U ("Application").		
21	On December 5, 2006, by Procedural Order, the Application was set for a hearing and ADOT		
22	was ordered to notify any interested party of the Application and the hearing.		
23	On December 21, 2006, ADOT certified that notice had been provided pursuant to the terms		
24	of the Procedural Order.		
25	On January 3, 2007, a full public hearing was held before a duly authorized Administrative		
26	Law Judge of the Commission at its offices in Phoenix, Arizona. The Commission's Railroad Safety		
27	Section was represented by counsel. At the conclusion of the hearing, the matter was taken under		
28	advisement pending submission of a Recommended Opinion and Order to the Commission.		

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Having considered the entire record herein and being fully advised in the premises, the Commission finds, concludes, and orders that:

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#### **FINDINGS OF FACT**

- 1. On November 16, ADOT filed an Application in which it requested an Opinion and Order from the Commission approving an agreement between ADOT and the Railroad for the upgrade of a crossing to replace existing flashing lights with new cantilever LED automatic warning devices and new automatic gates at 36th Street in Tucson, Pima County, Arizona at AAR/DOT No. 741-292-U.
- 2. On December 21, 2006, ADOT filed certification that it had provided notice by registered U.S. mail of the Application and hearing thereon.
  - 3. A hearing was held as scheduled on January 3, 2007.
- 4. The Application provides for the Railroad to install new automatic cantilever signals with new LED flashing lights and gates at the crossing.
- 5. Staff testified that the cost apportionment for the installation of the crossing upgrade as provided in the Application is proper.
  - 6. Staff has recommended that the Application be approved.

# **CONCLUSIONS OF LAW**

- 1. The Commission has jurisdiction over the parties and over the subject matter of the Application pursuant to Article XV of the Arizona Constitution and A.R.S. §§ 40-336, 40-337 and 40-337.01.
  - 2. Notice of the Application was provided in accordance with the law.
- 3. Pursuant to A.R.S. §§ 40-336 and 40-337, installation of the crossing upgrade is necessary for the public's convenience and safety.
  - 4. The Application should be approved as recommended by Staff.
- 5. After installation of the crossing, the Railroad should maintain the crossing in accordance with A.A.C. R14-5-104.

DECISION NO.

1 **ORDER** 2 IT IS THEREFORE ORDERED that the Arizona Department of Transportation's Application 3 is hereby approved. 4 IT IS FURTHER ORDERED that the Union Pacific Railroad Company shall complete the 5 crossing upgrade as described in the Application within fifteen months from the effective date of this 6 Decision. 7 IT IS FURTHER ORDERED that the Union Pacific Railroad Company shall notify the 8 Commission, in writing, within ten days of both the commencement and the completion of the 9 crossing upgrade, pursuant to A.A.C. R14-5-104. 10 IT IS FURTHER ORDERED that upon completion of the crossing upgrade, the Union Pacific 11 Railroad Company shall maintain the crossing in compliance with A.A.C. R14-5-104. 12 IT IS FURTHER ORDERED that this Decision shall become effective immediately. 13 BY ORDER OF THE ARIZONA CORPORATION COMMISSION. 14 15 CHAIRMAN COMMISSIONER 16 17 COMMISSIONER COMMISSIONER COMMISSIONER 18 19 IN WITNESS WHEREOF, I, BRIAN C. McNEIL, Executive 20 Director of the Arizona Corporation Commission, have hereunto set my hand and caused the official seal of the 21 Commission to be affixed at the Capitol, in the City of Phoenix, this \_\_\_\_\_ day of \_\_\_\_\_, 2007. 22 23 BRIAN C. McNEIL 24 EXECUTIVE DIRECTOR 25 DISSENT \_\_\_\_\_ 26 DISSENT \_\_\_\_ 27 MES:dr 28

1	SERVICE LIST FOR:	UNION PACIFIC RAILROAD
2	DOCKET NO.:	RR-03639A-06-0731
3 4 5	James H. Smith UNION PACIFIC RAILROAD COMPANY 10031 Foothills Blvd. Roseville, CA 95747	<b>7</b>
6 7 8	John Syers Railroad Engineering Coordinator Arizona Department of Transportation 205 S. 17 <sup>th</sup> Avenue, Mail Drop 618E Phoenix, AZ 85007	
9 10 11	David Gibson Arizona Department of Transportation Traffic Records Section 206 S. 17th Avenue, Mail Drop 064R Phoenix, AZ 85007	
12 13 14	Christopher Kempley, Chief Counsel Legal Division ARIZONA CORPORATION COMMISSIO 1200 West Washington Street Phoenix, AZ 85007	N
15 16 17	Brian Lehman, Chief Railroad Safety Section ARIZONA CORPORATION COMMISSIO 1200 West Washington Street Phoenix, Arizona 85007	N
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